

12/17/01

12-21-01

A

**THORPE
NORTH &
WESTERN L.L.P.**
SINCE 1979
THE TEAM APPROACH TO PREMIER PERFORMANCE®

INTELLECTUAL PROPERTY LAW

PATENTS, TRADEMARKS,
COPYRIGHTS, UNFAIR
COMPETITION AND
RELATED MATTERS

SALT LAKE AREA OFFICE
8180 SOUTH 700 EAST, SUITE 200
SANDY, UTAH 84070-0562
801 566.6633
801 566 0750 FAX
PATLAW@TNW.COM
WWW.TNW.COM

U.S. MAILING ADDRESS
PO Box 1219
SANDY, UTAH 84091-1219

LAS VEGAS AREA OFFICE
OF COUNSEL NEIL J. BELLER †
7408 WEST SAHARA
LAS VEGAS, NEVADA 89117
702 368 7767
† ADMITTED IN NEVADA

VAUGHN W. NORTH
M. WAYNE WESTERN
CLIFTON W. THOMPSON*
GARRON M. HOBSON
PETER M. DE JONGE
STEVE M. PERRY
WEILI CHENG, PHD
DAVID R. MCKINNEY, PE.
GARY P. OAKESON
DAVID W. OSBORNE
KEITH HARGROVE
JASON R. JONES

REGISTERED PATENT ATTORNEYS
*ADMITTED IN CALIFORNIA ONLY

CALVIN E. THORPE
(1939-1999)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir/Madam:

Transmitted herewith for filing is the patent application of Michael J. VanLeeuwen for
SYSTEM AND METHOD FOR FINANCIAL MANAGEMENT AND DEBT REDUCTION
comprising 24 pages of specification and claims.

☒ Priority of application no. 60/256,244 filed December 15, 2000 in the US Patent
Office is hereby claimed.

Enclosed also are:

☒ 2 sheet(s) of drawings (informal)

☒ Declaration and Petition.

☒ Power of Attorney.

Nonpublication Request Under 35 USC 122(b)(2)(B)(i).

☒ An Assignment

1036 U.S. PTO
10/024128
12/17/01

12/17/01

CERTIFICATE OF DEPOSIT

EXPRESS MAIL LABEL NO.: EL 901961772 US

DATE OF DEPOSIT: Dec. 17, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is address to: Box Patent Applications, Assistant Commissioner for Patents, Washington, D.C. 20231.

Steve M. Perry
Steve M. Perry

- ☒ A Certificate of Mailing by "Express Mail" certifying a filing date of Dec. 17, 2001 by use of Express Mail Label No. EL 901961772 US.
- ☒ Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed references attached (if indicated as being attached by the Information Disclosure Statement).

The filing fee has been calculated as shown below.

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$740
TOT. CLAIMS	-20 =		x 9 =	\$	x 18 =	\$
IND. CLAIMS	-03 =4	1	x 42 =	\$42.00	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$280		\$280	
ASSIGNMENT FILING FEE			\$ 40		\$ 40	
			TOTAL	\$412.00	TOTAL	

Assignment Filing Fee

40.00

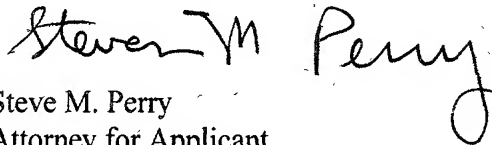
- ☒ A check in the amount of \$40.00 is enclosed to cover the filing of the assignment.
- ☒ A check in the amount of \$412 is enclosed to cover the filing fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 20-0100.
- ☐ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 20-0100.
- ☐ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☐ The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

— Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 17th day of December, 2001.

Respectfully submitted,



Steve M. Perry
Attorney for Applicant
Registration No. 45,357

THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone: (801) 566-6633

SMP:ja

Enclosures

H:\FILES\T9000\T9305\T9305.NP trans.wpd

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label No.: EL 901961772 US

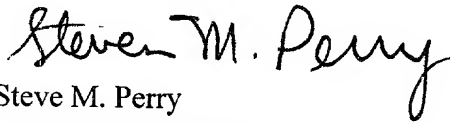
Date of Deposit: December 17, 2001

I hereby certify that the enclosed new provisional patent application entitled "SYSTEM AND METHOD FOR FINANCIAL MANAGEMENT AND ANALYSIS" a return postcard, and a transmittal letter to the Patent Office, in the name of **Michael J. VanLeeuwen** -- is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box Provisional Patent Applications, Washington, D.C. 20231.

Respectfully submitted this 17th day of December, 2001.

Respectfully submitted,

THORPE, NORTH & WESTERN, L.L.P.



Steve M. Perry
Attorney for Applicant
Registration No. 45,357
PO Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

Attorney Docket No. T9305

UNITED STATES PATENT APPLICATION

of

Michael J. VanLeeuwen

for

System and Method for Financial

Management and Analysis

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Your petitioner, **Michael J. VanLeeuwen**, citizen of the United States, whose residence and postal mailing address is **3271 East Walker Oaks Court, Salt Lake City, Utah 84121** prays that letters patent may be granted to him/her as the inventor of a **System and Method for Financial Management and Analysis** as set forth in the following specification.